

Applicants: Carl Anthony Blau and
David M. Spencer

Attorney Docket No. UOFW115624

Serial No.:

Filed:

Title: METHODS OF CONTROLLING CELL DIFFERENTIATION AND GROWTH
USING A FUSION PROTEIN AND A DRUG

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. §§ 1.9(F) AND 1.27(D)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization Board of Trustees of Leland Stanford Jr. University

Address of Organization 900 Welch Road, Suite 350, Palo Alto, CA 94304

Type of Organization:

- ☒ (X) University or other institution of higher education
- ☐ () Tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c)(3))
- ☐ () Nonprofit scientific or educational under statute of state of the United States of America
(Name of State _____)
(Citation of Statute _____)
- ☐ () Would qualify as tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(A) and 501(C)(3)) if located in the United States of America
- ☐ () Would qualify as nonprofit scientific or educational under statute of state of the United States of America if located in the United States of America
(Name of State _____)
(Citation of Statute _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. § 1.9(e) for purposes of paying reduced fees under 35 U.S.C. §§ 41(a) and (b), with regard to the invention entitled METHODS OF

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CONTROLLING CELL DIFFERENTIATION AND GROWTH USING A FUSION PROTEIN AND A DRUG by inventors Carl Anthony Blau and David M. Spencer described in

☒ (X) the specification filed herewith

☐ () Application No. _____, filed _____

☐ () Patent No. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 35 C.F.R. § 1.9(c) or by any concern which would not qualify as a small business concern under 35 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. § 1.27)

Full Name University of Washington

Address 1107 N.E. 45th Street, Suite 200, Seattle, WA 98105-4631

☐ () Individual

☐ () Small Business Concern

☒ (X) Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such

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willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing Katharine Ku

Title of Person Signing Director, Technology Licensing

Address of Person Signing 990 Welch Road, Suite 350, Palo Alto, CA 94304

Signature Katharine Ku

Date June 30, 2000

RDB:cj

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Applicants: Carl Anthony Blau and
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Attorney Docket No. UOFW1:15624

Serial No.:

Filed:

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Full Name Board of Trustees of Leland Stanford Jr. University

Address 900 Welch Road, Suite 150, Palo Alto, CA 94304

() Individual

() Small Business Concern

(X) Nonprofit Organization

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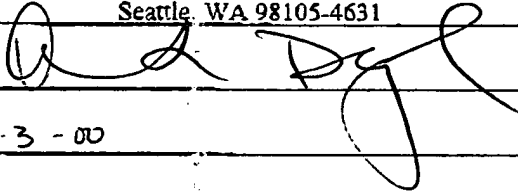
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willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

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